



June 28, 2013

The Honorable Darrell Issa, Chairman
Committee on Oversight and Government Reform
United States House of Representatives
2157 Rayburn House Office Building
Washington, D.C. 20515-6143

Dear Chairman Issa:

Thank you for your letter of June 17, 2013, requesting that the Government Printing Office (GPO) Office of Inspector General (OIG) provide information on the status of open and unimplemented recommendations. Specifically, you requested the following: (1) the number of open and unimplemented OIG recommendations; (2) cumulative estimated cost savings associated with current number of open and unimplemented recommendations; and (3) our three most important open and unimplemented recommendations.

Recommendations result from audits, evaluations, investigations, inspections, and other reviews pursuant to the GPO Inspector General Act of 1988, title II of Public Law 100-504 (October 18, 1988). Cost savings were based on questioned costs, unsupported costs, funds put to better use, and other monetary impact.

1. Number of Open and Unimplemented Recommendations

As of May 1, 2013, there were a total of 101 open recommendations related to 25 audit or assessment reports. Fifty of the 101 open recommendations were made in Fiscal Year 2013 and GPO is working on corrective action plans to address each recommendation.

2. Open Recommendations with Estimated Cost Savings

As of May 1, 2013, we identified relevant open recommendations, which were included in five audit reports. The recommendations carry an estimated monetary benefit or cost savings totaling \$4,737,400. See below for the detailed information on the recommendations.

- **OIG Report: Maintaining Effective Control over Employee Overtime,** Report No.12-08, March 30, 2012. (Funds Put To Better Use: \$2,967,176)

We recommended modifying Directive 640.7D, "General Pay Administration," dated April 2008, requiring that supervisors obtain approval before asking employees to work overtime.

GPO agreed with the recommendation and is in the process of taking action to close the recommendation.

- **OIG Report: Selected Aspects of GPO Time and Attendance and Payroll Administration,** Report No. 12-01, November 16, 2011. (Funds Put To Better Use: \$372,717)

We recommended that GPO modify Directive 640.7D, "General Pay Administration," dated April 2008, to require overtime-authorizing officials ensure that any authorized overtime does not exceed the bi-weekly pay cap.

GPO agreed with the recommendation and is in the process of taking action to close the recommendation.

- **OIG Report: GPO Faces Challenges With Current and Former Employee Indebtedness,** Report No. 13-02, March 27, 2013. (Funds Put To Better Use: \$245,105)

We recommended that GPO revise the employee separation procedures by informing employees that the failure to complete and submit the employee checkout form may result in the withholding of any final payments due the employee.

We also recommend that GPO (1) establish and implement a process for periodically monitoring NFC's collection activities associated with employee indebtedness that will ensure collection activities are achieving the intended results, and (2) update all pertinent standard operating procedures and/or Directives to provide guidance to staff regarding the transfer of employee debt greater than 180 days to Treasury for debt servicing or develop a process to provide similar servicing activities by GPO, to maintain adequate supporting records for all employee debts, and to withhold the final paycheck of all departing employees who are in debt to the Agency.

GPO agreed with the recommendations and is in the process of taking action to close the recommendations.

- **OIG Report: Management Oversight: Federal Employees' Compensation Act Operations, Report No. 13-01, January 15, 2013. (Funds Put To Better Use: \$267,162)**

We recommended that GPO further strengthen FECA operations by developing procedures and a standardized program-wide monitoring process to routinely monitor adherence to FECA requirements such as: (1) updating the marital status of claimants, (2) evaluating continued eligibility of the claimants dependents, (3) seeking opportunities to place claimants on a modified, limited, or light duty assignment, (4) receiving medical updates on a regular basis, and (5) obtaining second medical opinions where the record indicates the claimants has some potential of eventually returning to work, (6) responding to requests for vocational training, and (7) requesting employees be included in OWCP's Assisted Reemployment program.

We also recommended that GPO strengthen business unit supervisors understanding of their responsibilities under FECA by developing and implementing a formal training program.

Furthermore, we recommended expanding the use of information technology to administer the program such as the use of DOL's Employees' Compensation Operations and Management Portal electronic software.

GPO agreed with the recommendations and is in the process of taking action to close the recommendations.

- **OIG Report: Opportunities Exist to Reduce Costs Associated with Oracle Software Licensing, Report No. 13-06, March 15, 2013. (Funds Put To Better Use: \$885,240)**

We recommended that GPO mitigate risks of potentially investing in duplicative licenses by (1) developing and implementing processes, policies and procedures to address goals and objectives of software license management program, (2) conducting an assessment of the current Oracle software licenses and Oracle products vs. GPO requirements to determine the correct license and product mix and make the necessary adjustments, and (3) revising the current inventory listing to include a crosswalk from major Oracle COTS software products to GPO applications.

GPO agreed with the recommendations and is in the process of taking action to close the recommendations.

3. Three Most Important Open and Unimplemented Recommendations

To determine the three most significant open recommendations, keeping in line with the OIG Strategic Plan, we assessed whether the recommendation would assist GPO's transformation to a digital platform or improve program and operational integrity. Using these criteria, we identified the following three recommendations.

	Open Recommendation	OIG Report
Assist GPO's Transformation to a Digital Platform	<p>To enhance the utility and maturity of GPO's Enterprise Architecture, we recommend GPO:</p> <ol style="list-style-type: none"> 1. identify, develop, and implement a framework to evolve GPO's Enterprise Architecture and its use to support GPO's transformation and optimization; 2. reevaluate, modify if necessary, GPO Directive 705.31, "GPO Enterprise Architecture Policy" and reestablish and convene the Architect Review Board; and 3. ensure the FDsys architecture is aligned with GPO's Enterprise Architecture. <p>Status: GPO is in the process of taking action to close the recommendations.</p>	Enhanced Architecture Maturity Could Better Guide GPO's Transformation, Report No. 12-19, September 28, 2012.
Program and Operational Integrity	<p>To strengthen controls over employee overtime, we recommended GPO:</p> <ol style="list-style-type: none"> 1. modify GPO Directive 640.7D to require supervisors to obtain prior approval of his or her designated management official before asking employees to work overtime; 2. reiterate GPO's requirement to approve overtime request prior to employees working overtime; and 3. establish a process to monitor compliance with the Directive. <p>Status: GPO is in the process of taking action to close the recommendations.</p>	Maintaining Effective Control over Employee Overtime, Report No. 12-08, March 30, 2012.

Open Recommendation

OIG Report

Program and
Operational
Integrity

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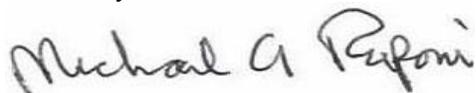
Status: GPO is in the process of taking action to close the recommendations.

Management
Oversight: Federal
Employees'
Compensation Act
Operations, Report
No. 13-01, January
15, 2013.

We continue to work closely with managers at GPO to improve operations and maintain a long-standing record of excellence in delivering a world-class service to our Nation. We appreciate GPO's responsiveness to our findings and recommendations and its commitment to prompt corrective actions when needed. We would especially like to note that Senior Managers continue to be actively engaged in working with the OIG to enhance awareness of and involvement in closing OIG recommendations.

If you have any questions or need further information, please contact me at (202) 512-0039.

Sincerely,



Michael A. Raponi
Inspector General

cc: The Honorable Elijah Cummings, Ranking Member