



U.S. GOVERNMENT PUBLISHING OFFICE

OFFICE OF INSPECTOR GENERAL

732 North Capitol Street NW
Washington, DC 20401-0050

May 12, 2016

The Honorable Ron Johnson
Chairman
Committee on Homeland Security
and Governmental Affairs
U.S. Senate
340 Dirksen Senate Office Building
Washington, DC 20510

The Honorable Charles E. Grassley
Chairman
Committee on the Judiciary
U.S. Senate
224 Dirksen Senate Office Building
Washington, DC 20510

Via Electronic Transmission

Dear Chairmen Johnson and Grassley:

Since 2010, you have been requesting that the Government Publishing Office (GPO) Office of Inspector General (OIG) provide: (1) an accounting of outstanding unimplemented recommendations, (2) a description of all audits, evaluations, and investigations provided to the agency for comment but not responded to within 60 days, (3) a report on each investigation involving GS-15 level or above employees at a Federal agency where misconduct was found, but no prosecution resulted, (4) descriptions of any instances of whistleblower retaliation, including information about the official found to have engaged in retaliation and what, if any consequences, the agency imposed to hold that official accountable, (5) descriptions of any attempts to interfere with IG independence, (6) a detailed description of any incident where the Federal agency has resisted or objected to oversight activities of the IG office or restricted or significantly delayed access to information, and (7) a description of any investigation, evaluation, audit, or report that is closed and was not disclosed to the public.

Your request along with our response follows.

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1. An accounting of all outstanding unimplemented recommendations, as well as the aggregate potential cost savings of these open recommendations, including:
 - a. Current number of open and unimplemented recommendations.
 - b. Dates on which the open and unimplemented recommendations were initially made.
 - c. Whether agency management agreed or disagreed with the recommendations.
 - d. Total potential cost savings to the agency of the current open and unimplemented recommendations

As of March 31, 2016, we have 115 open or unimplemented recommendations. As of the date of this response, all recommendations are resolved. An audit recommendation is resolved when GPO and OIG agree on the action that will correct the problem or deficiency that produced the recommendation. The amounts reported below represent a combination of monetary amounts associated with funds put to better use, questioned costs, and other monetary impacts.

Report No.	Recommendation	Date	Amount	Classification
12-01	Final Report on Audit of Selected Aspects of GPO Time and Attendance and Payroll Administration	Nov. 2011	\$372,717	Other Monetary Impact
12-18	Audit of Controls over GPO's Fleet Credit Card Program	Sep. 2012	\$4,751	Funds Put To Better Use
13-06	Opportunities Exist to Reduce Costs Associated with Oracle Software Licensing	Mar. 2013	\$885,240	Funds Put To Better Use
14-07	Changes Can Provide GPO Better Information on Establishing Billing Rates for Congressional Hearings	Mar. 2014	\$4 million	Other Monetary Impact
14-08	Information Technology Professional Services—Oracle Software	Mar. 2014	\$2.7 million	Funds Put to Better Use
14-10	Information Technology—Microsoft Software Licenses	Mar. 2014	\$250,000	Funds Put to Better Use
14-14	Acquisition of U.S. Passport eCovers,	Aug. 2014	\$15.7 million	Other Monetary Impact
14-16	Information Technology Professional Services Integrated Library System (ILS)	Sep. 2014	\$1.1 million	Other Monetary Impact
14-21	Review of Prompt Payment of Invoices on Hold	Sep. 2014	\$45,572	Funds Put To Better Use
15-02	Development of a Secure Credential Production System	Mar. 2015	\$3.9 million \$746,651	Other Monetary Impact and Questioned Costs
15-09	Improved Contract Administration Needed for the Acquisition of U.S. Passport Paper (Visa)	Mar. 2015	\$4.3 million	Questioned Costs
16-06	Follow-up Audit of Contract Requirements: Paper Specifications	Feb. 2016	\$1.3 million	Funds Put To Better Use
16-10	Procurement of End Sheets Used in the Production of U.S. Passports	Mar. 2016	\$5 million	Funds Put To Better Use
16-11	Independent Verification and Validation of GPO's Composition System Replacement	Mar. 2016	\$742,952	Funds Put To Better Use

2. A narrative description of all audits, evaluations, and investigations provided to the agency for comment but not responded to within 60 days.

We have no audits, evaluations or investigations provided to GPO where a response was required and the agency has not responded within 60 days.

3. A report on each investigation involving GS-15 level or above employees, or other Federal pay scale equivalent employees, at a Federal agency or department where misconduct was found, but no prosecution resulted, including:
 - a. A detailed description of the facts and circumstances of the investigation; and
 - b. A detailed description of the status and disposition of the matter, including whether there was a referral to the Department of Justice, the date of any such referral, whether there was declination from the Department, the date of any such declination, and an explanation of the reasons for any such declination.

OIG investigated an allegation asserting that a GS-15 equivalent employee misused his Government position to steer a contract in return for something of value. In February 2016, the U.S. Attorney's Office declined prosecution due to the lack of cooperation of a key witness.

4. Detailed descriptions of any instances of whistleblower retaliation, including information about the official found to have engaged in retaliation and what, if any consequences, the agency imposed to hold that official accountable. However, please seek whistleblower consent before revealing any whistleblower's personally identifiable information to Congress.

OIG currently has two whistleblower retaliation investigations open. One pertains to similar allegations pending a hearing with the EEOC. The second pertains to similar allegations associated with ongoing litigation.

5. Detailed descriptions of any attempts to interfere with IG independence, including:
 - a. Restricting communications between the IG office and Congress; and
 - b. Budgetary constraints designed to limit the capabilities of the IG office.

OIG has not experienced any instances where the Agency has restricted communications between the IG office and Congress or do we know of any intentional budgetary constraints imposed to limit the capabilities of the IG office.

6. A detailed description of any incident where the Federal agency or department, as applicable, has resisted or objected to oversight activities of the IG office or restricted or significantly delayed access to information, including the justification of the Federal agency or department for such action.

OIG has not experienced any instances where the Agency has resisted or objected to oversight activities of the IG office or restricted or significantly delayed access to information.

7. A detailed description of any investigation, evaluation, audit, or report that is closed and was not disclosed to the public.

We issued 11 non-public audit products to GPO senior managers. Detailed report numbers and titles are listed below.

Report No.	Report Title
15-08	Review of Information Technology Controls in Support of the Consolidated Financial Statement Audit for the Year Ended September 30, 2014
15-12	Information Security: Penetration Testing of GPO's Contractor Connection Web Application
15-13	Information Security: Penetration Testing of GPO's Citrix Remote Access System
15-14	Information Security: Penetration Testing of GPO's Ben's Guide Web Application
15-15	Information Security: Penetration Testing of GPO's FDL.gov Web Application
15-16	Information Security: Penetration Testing of GPO's Online Bookstore
15-17	Information Security: Evaluation of Selected Information Technology and Cybersecurity Areas
16-07	Information Security: Penetration Testing of GPO's ePassport System
16-08	Information Security: Penetration Testing of GPO's Secure Card Personalization System - Transportation Workers Identification Credential
16-09	Information Security: Penetration Testing of GPO's Secure Card Personalization System - Trusted Traveler Program
16-11	Independent Verification and Validation of GPO's Composition System Replacement

We issued 16 closed, non-public investigative-related reports. Details are listed below.

Report No.	Report Title
15-0055-C	Management Referral—Alleged Unauthorized Access to SID and Employee Misconduct
14-0022-I	Management Implication Report—2015 Medicare & You Handbook
15-0007-I	Suspension/Debarment Referral—Print Procurement Contractor
15-0011-I	Coordination of Investigative and Administrative Activities—Employee Misconduct
15-0010-I	Management Referral—Alleged Contractor Misconduct
15-0013-I	Management Referral—Alleged Employee Misconduct
15-0001-I	Management Referral—Claimed Employment Experience
14-0008-I	Suspension/Debarment Referral—Print Procurement Contractor
15-0015-I	Suspension/Debarment Referral—Print Procurement Contractor
14-0025-I	Suspension/Debarment Referral—Print Procurement Contractor
15-0016-I	Management Referral—Alleged Employee Misconduct
16-0002-I	Management Referral—Alleged Employee Misconduct
16-0001-I	Suspension/Debarment Referral—Print Procurement Contractor

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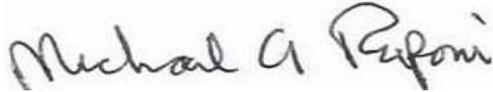
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Report No.	Report Title
16-0005-I	Suspension/Debarment Referral—Print Procurement Contractor
16-0004-I	Management Referral—Alleged Employee Misconduct
16-0008-I	Suspension/Debarment Referral—Print Procurement Contractor

Should you need any other information, please do not hesitate to contact me at (202) 512-0039.

Respectfully submitted,



Michael A. Raponi
Inspector General